

AUG 27 2001

CASE ON0163NP

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Briana C. Buchholz

Type or print name

*Briana C. Buchholz*

Signature

August 23, 2001

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

CHIANG ET AL.

APPLICATION NO: 09/801,852

FILED: MARCH 8, 2001

FOR: DIRECT PRODUCTION OF DESACETYLCEPHALOSPORIN C

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Sir:

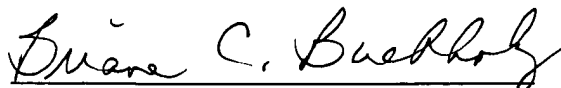
In response to the Notice of Incomplete Reply, dated August 20, 2001 (a copy of which is enclosed), Applicants submit herewith a copy of the sequence listing for the above-identified application in computer readable form which is identical to the sequence listing submitted to the U.S. Patent and Trademark Office with Applicants' Response to Notice to File Missing Parts dated July 24, 2001. Also submitted herewith is a Statement of Verification stating that the computer readable form of the sequence listing and the paper copy are the same. However, Applicants assert that a disk containing the sequence listing in computer readable form was enclosed with Applicants' Response to Notice to File Missing Parts dated July 24, 2001. Applicants enclose herewith a copy of the stamped postcard indicating that the disk and paper copy of the sequence listing were received by the Patent & Trademark Office on July 26, 2001.

A petition and fee for a one-month extension of time accompanies this response, making this response timely submitted.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.17 which may be required, or credit any overpayment, to Account No. 19-3880 in the name of Bristol-Myers Squibb Company.

Respectfully submitted,

Bristol-Myers Squibb Company  
Patent Department  
P.O. Box 4000  
Princeton, NJ 08543-4000  
609-252-4316

  
Briana C. Buchholz  
Attorney for Applicants  
Reg. No. 39,123

Date: 8/23/01



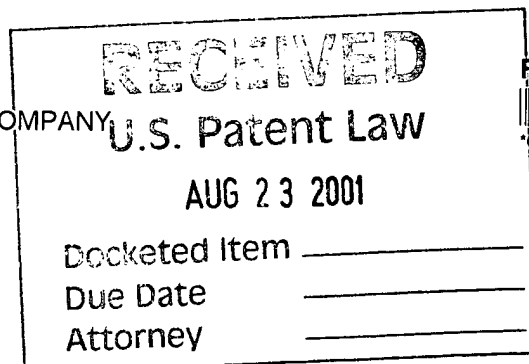
AUG 27 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,852	03/08/2001	Shu-Jen David Chiang	ON0163NP

23914  
MARLA J MATHIAS  
BRISTOL-MYERS SQUIBB COMPANY  
PATENT DEPARTMENT  
P O BOX 4000  
PRINCETON, NJ 08543-4000



CONFIRMATION NO. 6300

FORMALITIES LETTER



\*OC000000006448425\*

Date Mailed: 08/20/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202


PART 2 - COPY TO BE RETURNED WITH RESPONSE



Case No.: ON0163

Application No.: 09/801,852

Mailing Date: 7-24-01

Due Date: 7-24-01 

Express Mail No.: \_\_\_\_\_

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below:

☐ Amendment/Response/Letter - Fee \$ \_\_\_\_\_

☐ Appln. Filing Papers - Fee \$ \_\_\_\_\_

☐ Non-provisional \_\_\_\_\_

☐ Provisional Application

☐ CPA ☐ DIV ☐ CONT ☐ CIP

☐ Specification \_\_\_\_\_ Pg's

☒ Executed/Unexecuted Decl. - Fee \$ 130-

☒ Missing Parts/Missing Req.

☐ Preliminary Amendment \_\_\_\_\_ Pg's

☐ Claim of Priority ☐ Certified Copy(s)

☐ Amendment After Final

☐ Notice of Appeal - Fee \$ \_\_\_\_\_

☐ Appeal Brief - Fee \$ \_\_\_\_\_

☐ Issue Fee Payment - \$ \_\_\_\_\_

☐ Assignment Rec. Req. - Fee \$ \_\_\_\_\_

☐ Formal Drawings \_\_\_\_\_ Pg's

☐ IDS \_\_\_\_\_ Pg's - Fee \$ \_\_\_\_\_

☐ PTO-1499 Form \_\_\_\_\_ Pg's

☐ Pet. for Ext. of Time - Fee \$ \_\_\_\_\_

☒ Seq. Listings 10 Pg's/Seq. Disk



BCB  
Initials